

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Steven Paolini; Gerard Harbers; Wayne L. Snyder

Assignee: Lumileds Lighting U.S. LLC

Title: Backlight for a Color LCD

Serial No.: 09/854,014

Filing Date: May 10, 2001

Examiner: Fazli Erdem

Group Art Unit: 2826

Docket No.: M-9593 US

San Jose, California

June 6, 2003

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P. O. Box 1450  
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**INFORMATION DISCLOSURE STATEMENT  
UNDER C.F.R. § 1.97(c) WITH CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the following document (copy enclosed) to the attention of the Examiner.

A PTO form 1449 listing this document is enclosed.

Citation of the above document shall not be construed as:

1. an admission that the document is necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97 that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person



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signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement. This certification does not extend to any items of information cited herein which have previously been cited in this application.

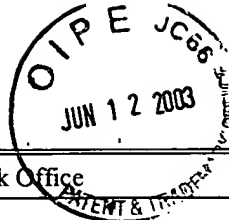
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on 6/9, 2003.

B. D. Ogonowsky 6/9/03  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,



Brian D. Ogonowsky  
Attorney for Applicant(s)  
Reg. No. 31,988



U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Serial No.		
				LUM-M-9593 US		09/854,014		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s)				
(Use several sheets if necessary)				Steven Paolini; Gerard Harbers; Wayne L. Snyder				
				Filing Date		Group		
				May 10, 2001		2826		
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,608,554	03/04/97	Do et al.	349	70		
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								